A model of linear logic using polynomials in Homotopy Type Theory

Elies Harington Samuel Mimram

École Polytechnique

21st of june MFPS 2024 • 1988 : Normal functors, power series and λ -calculus - Jean-Yves Girard

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- Our contribution : polynomial functors in types form a model of linear logic.

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- Our contribution : polynomial functors in types form a model of linear logic.
- Linear logic : " $(A \implies B) \simeq (!A \multimap B)$ "

A polynomial in sets is an expression

$$P(X) = \sum_{b \in B} X^{E_b}$$

where B is a set and $(E_b)_{b\in B}$ a family of sets indexed by B.

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where B is a set and $(E_b)_{b\in B}$ a family of sets indexed by B. No coefficients, but repetitions allowed :

$$3 \times X^2 = X^2 \sqcup X^2 \sqcup X^2$$

$$(B,(E_b)_{b\in B})\iff (E o B)$$

so the data of a polynomial P can be encoded as a map of sets

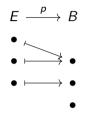
$$E \xrightarrow{p} B$$

- $b \in B$ represents a monomial
- $E_b := p^{-1}(b)$ is the exponent of the monomial b

It induces a polynomial functor

$$egin{aligned} \mathbf{Set} &
ightarrow \mathbf{Set} \ X &\mapsto \sum_{b \in B} X^{E_b} \end{aligned}$$

Example



Induced functor:

 $egin{array}{lll} {f Set} &
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Polynomials in Homotopy Type Theory

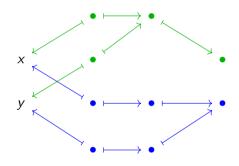
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Polynomials with sorts/colors

$$\{x,y\} \xleftarrow{s} E \xrightarrow{p} B \xrightarrow{t} \{0,1\}$$



$$\mathbf{Set}^{\{x,y\}}
ightarrow \mathbf{Set}^{\{0,1\}}$$

 $(X,Y) \mapsto (XY, X + Y)$

Composition of polynomials

Generally, a diagram of sets

$$I \xleftarrow{s} E \xrightarrow{p} B \xrightarrow{t} J$$

induces a polynomial functor

 $P:\mathbf{Set}^{I}\to\mathbf{Set}^{J}$

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- Composition can already be defined at the level of diagrams, but it's only associative/unital up to isomorphism.
- Polynomials in sets thus form a (2,1)-category.

Linear polynomials: spans

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- Up to isomorphism of polynomials, we can take $p = id_B$, so linear polynomials correspond to spans

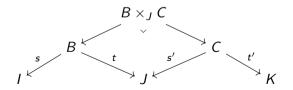
$$I \xleftarrow{s} B \xrightarrow{t} J \iff I \xleftarrow{s} B \xrightarrow{\operatorname{id}_B} B \xrightarrow{t} J$$

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And they compose via pullbacks



A model of linear logic ?

Spans are linear polynomials. To get a model of LL, we would like

$$(A \implies B) \simeq (!A \multimap B)$$

 $\mathbf{Poly}(I, J) \simeq \mathbf{Span}(!I, J)$

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A polynomial functor $P : \mathbf{Set} \to \mathbf{Set}$ is determined by $B : \mathbf{Set}, E_{(-)} : B \to \mathbf{Set}$, so by a span

$$\mathbf{Set} \xleftarrow[E_{(-)}]{} B \longrightarrow \mathbb{1}$$

- This suggests $!(1) \simeq$ **Set**, but there is not set of all sets.
- So we restrict the size of the sets E_b .

A polynomial is **finitary** if the E_b 's in its definition are finite sets. Notation : **Poly**_{Fin}(I, J)

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A polynomial is **finitary** if the E_b 's in its definition are finite sets. Notation : **Poly**_{Fin}(I, J) Examples:

- $X \mapsto X^3 + X + 1$ is finitary
- $X \mapsto \mathbb{N} imes X$ is finitary
- $(X_k)_{k\in\mathbb{N}}\mapsto ((X_k)^k)_{k\in\mathbb{N}}$ is finitary
- $X \mapsto X^{\mathbb{N}}$ is **not** finitary
- a linear polynomial is always finitary

A model of linear logic ??

A finite set *E* is characterized by its cardinality $\#E \in \mathbb{N}$. Do we now have

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- Need to replace ${\mathbb N}$ by the groupoid Fin of finite sets and bijections.

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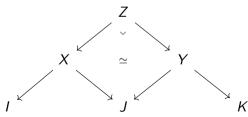
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Working homotopically is essential : composition of spans of groupoids needs to be done by **homotopy pullback**.



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 - Σ -types are Grothendieck constructions
 - fibers are homotopy fibers, pullbacks are homotopy pullbacks...

Spans and polynomials in HoTT

- Polynomials and spans in HoTT : same as before, but replace "set" with "type".
- A polynomial is **finitary** if the *E_b*'s are finite **sets** (seen as types).
- Write Fin for the type of finite sets and bijections.

 $\mathsf{Poly}_{\mathrm{Fin}}(\mathbb{1},\mathbb{1})\simeq\mathsf{Span}(\mathrm{Fin},\mathbb{1})$

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$$\mathsf{Poly}_{\mathrm{Fin}}(1,1)\simeq\mathsf{Span}(\mathrm{Fin},1)$$

so $!1 \simeq \text{Fin}$.

More generally, define !I := finite sets colored by I, i.e.

$$!I \simeq \sum_{E:\operatorname{Fin}} (E \to I)$$



$$\mathsf{Poly}_{\mathrm{Fin}}(I,J)\simeq\mathsf{Span}(!I,J)$$

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A parenthesis : higher categorical coherences

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Remark

Not all coherences can be stated in HoTT, but some can be proven meta-theoretically. For instance, we can prove a wild category has cartesian products, and know meta-theoretically that the induced monoidal structure is homotopy coherent.

Proposition

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Corollary

Since (Span, !) is monoidal closed, $\textbf{Poly}_{\rm Fin}$ is cartesian closed.

Variations on size

We had to restrict to finitary polynomials.

Actually, any suitable notion of "small" gives **smallary** polynomials, with results analogous to everything before.

Given a universe of "big types" ${\cal U}$ and ${\cal V}:{\cal U}$ a universe of "small types" closed under suitable constructions :

- \bullet a polynomial is $\mathcal V\text{-}\mathsf{ary}$ if the $\mathcal E_b$'s are equivalent to types in $\mathcal V$
- define $!_{\mathcal{V}}I := \sum_{E:\mathcal{V}} (E \to I)$

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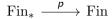
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Theorem

 $\mathsf{Poly}_{\mathcal{V}}(I,J) \simeq \mathsf{Span}(!_{\mathcal{V}}I,J)$

and we get a model of classical linear logic.

- $\bullet \ \mathcal{V} = \mathrm{Fin}, \ \mathcal{U} = \mathsf{groupoids}$
- $\bullet \ \mathcal{V} = \textbf{Set}, \ \mathcal{U} = \mathsf{large groupoids}$
- $\bullet \ \mathcal{V} = \text{groupoids}, \ \mathcal{U} = \text{all types}$



 $(E,e) \longmapsto E$

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$$\operatorname{Fin}_* \xrightarrow{p} \operatorname{Fin}$$

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- Given E : Fin, $p^{-1}(E) \simeq E$
- Induced polynomial : $F(X) = \sum_{E:Fin} X^E$
- \bullet This exactly the definition $!_{\rm Fin}$: the comonad is itself a polynomial functor.

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$$\mathbb{N} \xrightarrow{p} \sum_{n:\mathbb{N}} B(\mathbb{Z}/n\mathbb{Z})$$

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- $B(\mathbb{Z}/n\mathbb{Z})$ is the groupoid with one point and $\mathbb{Z}/n\mathbb{Z}$ as automorphisms
- $p^{-1}((n,\star)) \simeq \mathbb{Z}/n\mathbb{Z}$
- Induced polynomial : $F(X) = \sum_{n:\mathbb{N}} X^n /\!\!/ (\mathbb{Z}/n\mathbb{Z})$
- The type of cyclic lists over X
- Generally, summing over groupoids amounts to quotienting the summand

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Examples of higher polynomials - The Hopf Fibration





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$$S^3 \xrightarrow{H} S^2$$

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$$\left\|H^{-1}(x)\simeq S^1
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Examples of higher polynomials - The Hopf Fibration



$$S^3 \xrightarrow{H} S^2$$

•
$$||H^{-1}(x) \simeq S^1||_{-1}$$
 but $(\sum_{x:S^2} H^{-1}(x)) \simeq S^3 \not\simeq S^2 \times S^1$
• $F(X) = \sum_{x:S^2} X^{H^{-1}(x)}$.

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- $S^3 \xrightarrow{H} S^2$
- $\left\|H^{-1}(x)\simeq S^1\right\|_{-1}$ but $\left(\sum_{x:S^2}H^{-1}(x)\right)\simeq S^3
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- This locally looks like $S^2 \times X^{S^1}$, but in a globally twisted way.



$$S^3 \stackrel{H}{\longrightarrow} S^2$$

• $\left\|H^{-1}(x)\simeq S^1\right\|_{-1}$ but $\left(\sum_{x:S^2}H^{-1}(x)\right)\simeq S^3
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$$F(X) = \sum_{x:S^2} X^{H^{-1}(x)}$$

- This locally looks like $S^2 \times X^{S^1}$, but in a globally twisted way.
- If you have any idea what this represents, please reach out !

• Differential structure?

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- Exploring other potential homotopically-flavoured models of linear logic: spectra? stable ∞ -categories?

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- Exploring other potential homotopically-flavoured models of linear logic: spectra? stable ∞ -categories?
- Comparison with other span-based models of linear logic by Mellies, Clairambault, Forest
- Comparison with generalized species of structure (Fiore, Gambino, Galal, Hyland, Paquet, Winskel)

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