



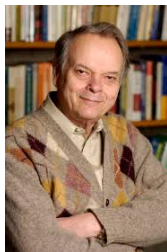
Parametricity via Cohesion

 C.B. Aberlé (she/her) 

June 20, 2024

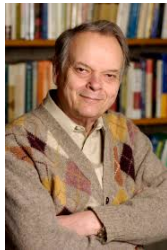
On Parametricity

- Reynolds (1983) introduced the concept of *parametricity* as a formal specification of the idea that **“type structure is a syntactic discipline for enforcing levels of abstraction.”**



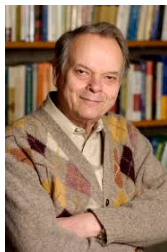
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- *Idea:* in polymorphic λ -calculus/System F, a polymorphic function of type (e.g.) $\forall X.X \rightarrow X$ cannot inspect the types over which it is defined and so must behave essentially the same for all types at which it is instantiated.
- Using Reynolds’ technique, can show e.g. that all closed terms of type $\forall X.X \rightarrow X$ in System F are equivalent to the polymorphic identity function.



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- As yet no unifying axiomatic framework for such approaches to internal parametricity.
- This work constitutes a first step toward such a unifying framework, based on the category-theoretic concept of *cohesion*.

 Axiomatic Cohesion

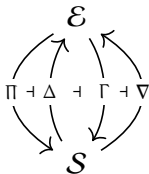
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- A topos \mathcal{E} is *cohesive* over another topos \mathcal{S} if there is a string of four adjoint functors between them as follows:



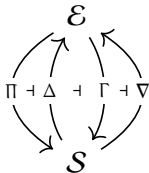
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- Induces a string of adjoint endofunctors on \mathcal{E} :

$$f \dashv b \dashv \sharp$$

with f, \sharp idempotent monads, and b an idempotent comonad.



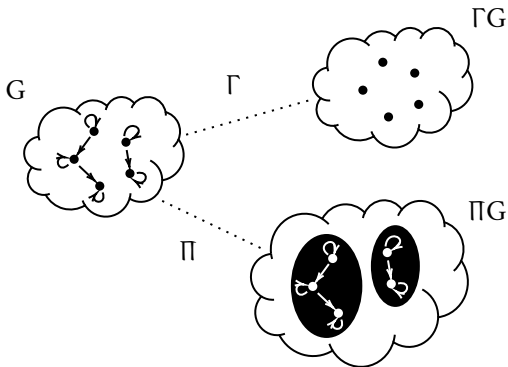
Example: Reflexive Graphs

The category of reflexive graphs **RGph** is cohesive over the category of sets **Set**.



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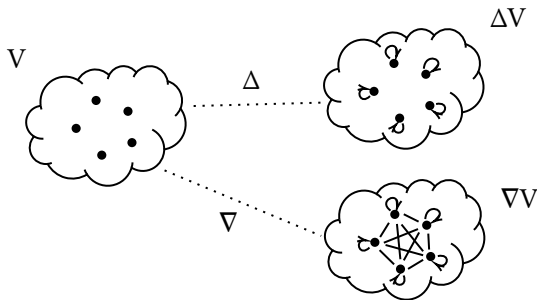
Γ maps a reflexive graph G to its set of vertices, Π maps G to its set of *weakly connected components*.





Example: Reflexive Graphs

Δ maps a set V to the *discrete* graph with vertex set V , and ∇ maps V to the *codiscrete* (i.e. complete) graph on V .





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- More generally, for any base topos \mathcal{S} , the corresponding topos $\mathbf{RGph}(\mathcal{S})$ of internal reflexive graphs in \mathcal{S} is cohesive over \mathcal{S} . Hence the internal language of reflexive graphs can be used to derive parametricity results for any topos.



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- In fact, this same setup of cohesion is interpretable, *mutatis mutandis*, in the case where \mathcal{E}, \mathcal{S} are not (1-)topoi, but rather ∞ -topoi, i.e. models of homotopy type theory (HoTT).



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- In fact, this same setup of cohesion is interpretable, *mutatis mutandis*, in the case where \mathcal{E}, \mathcal{S} are not (1-)topoi, but rather ∞ -topoi, i.e. models of homotopy type theory (HoTT).
- We can thus use the language of HoTT – suitably extended with cohesive modalities – to work *synthetically* with the structure of such a cohesive ∞ -topos.

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Solution: modify the structure of contexts to keep track of which variables are *discrete*.



Type-Theoretic Cohesion

Contexts now of the form $\Delta \mid \Xi$ where Δ consists of *discrete* variables, while Ξ consists of ordinary variables. The type of an ordinary variable may depend on both ordinary and discrete variables, but the type of a discrete variable can only depend upon other discrete variables.

$$\frac{\Delta \mid \Xi \text{Ctx} \quad \Delta \mid \Xi \vdash S \text{Type}}{\Delta \mid \Xi, x : S \text{Ctx}}$$

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Rules for \flat are then essentially those of a Pfenning-Davies-style modal necessity operator:

$$\frac{\Delta \mid - \vdash S \text{ Type}}{\Delta \mid \exists \vdash \flat S \text{ Type}} \qquad \frac{\Delta \mid - \vdash s : S}{\Delta \mid \exists \vdash s^\flat : \flat S}$$

$$\frac{\Delta \mid \exists \vdash s : \flat S \quad \Delta \mid \exists, z : \flat S \vdash R \text{ Type} \quad \Delta, x : S \mid \exists \vdash r : R[x^\flat/z]}{\Delta \mid \exists \vdash \text{let } x^\flat = s \text{ in } r : R[s/z]}$$
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For any type S , we have $\epsilon_S : \flat S \rightarrow S$ given by

$$\epsilon(s) := \text{let } x^\flat = s \text{ in } x$$

S is *discrete* if ϵ_S is an equivalence.



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 - It is *connected*, i.e. $\int I \simeq 1$
- The existence of an object with these two properties is equivalent to what Lawvere called *sufficient cohesion*.



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Proof: let S be a discrete type. A path in S is a function $f : I \rightarrow S$. Since S is discrete, f factors as

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for some $f_b : I \rightarrow bS$. But then since $f \dashv b$, it follows that there is $f_f : f I \rightarrow S$ such that

$$\begin{array}{ccc} I & \xrightarrow{\eta_I} & f I \\ f_b \downarrow & \searrow f & \downarrow f_f \\ bS & \xrightarrow{\epsilon_S} & S \end{array}$$

where η is the unit for the monad f . Then since I is connected, $f I \simeq 1$ and so f factors through 1 , i.e. f is constant.

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- The above lemma says that, if a type is discrete, then it is path-discrete.



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- In the case where $i \equiv \mathbf{0}$, we therefore have $\text{Gph1}_{x:S}^i T(x) \simeq S$, and we strengthen this equivalence into the following judgmental equalities:

$$\text{Gph1}_{x:S}^{\mathbf{0}} T(x) \equiv S \quad \frac{p : \text{Gph1}_{x:S}^{\mathbf{0}} T(x)}{\pi_1(p) \equiv p} \quad \frac{(s, t) : \text{Gph1}_{x:S}^{\mathbf{0}} T(x)}{(s, t) \equiv s}$$



The Polymorphic Identity

Lemma: given $\alpha : \prod_{X:\text{Type}} X \rightarrow X$, for any *path-discrete* type A together with $x : A \vdash B(x)$ Type and $a : A$ with $b : B(a)$, the type $B(\alpha A a)$ is inhabited.

Three steps to prove parametricity:

- 1 Define a function $\text{step1} : \prod_{i:I} \text{Gph1}_{x:A}^i B(x)$ such that $\text{step1}(\mathbf{0}) \equiv \alpha A a$

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- 3 Taking the first projection of $\text{step1}(i)$ for $i : I$ gives a path $\text{step3} : \text{Path}_{i,A}(\alpha A a, \pi_1(\text{step1}(\mathbf{1})))$, and since A is path-discrete, this yields an identity $\alpha A a =_A \pi_1(\text{step1}(\mathbf{1}))$, along which we can transport step2 to obtain an inhabitant of $B(\alpha A a)$. \square

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- The approach to this problem via internal parametricity in cohesive HoTT suffers none of these defects, and easily handles examples such as the circle, for which the analogous Awodey-Frey-Speight encoding is already quite complex.

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 - Further work: internal parametricity for linear programs (in some form of linear dependent type theory?)

    *Thank you!* 